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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Application Number	09/805,849	
	Filing Date	March 14, 2001	
	First Named Inventor	Douglas M. Kavner	
	Group Art Unit	2632	
	Examiner Name	Not yet assigned	
Total Number of Pages in This Submission	54	Attorney Docket Number	RTN-100AUS

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Return-receipt postcard 7 cited references
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SIGNATURE OF APPLICANT		ATTORNEY, OR AGENT	
Firm or Individual name	Donald F. Mofford	Reg. No.	33,740
Signature			
Date	10/22/01		

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Typed or printed name	Donald F. Mofford	
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
PATENT RECEIVED  
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Applicant : Douglas M. Kavner  
Serial No.: : 09/805,849  
Filed : March 14, 2001  
Entitled : PREDICTIVE AUTOMATIC INCIDENT  
DETECTION USING AUTOMATIC  
VEHICLE IDENTIFICATION  
Docket : RTN-100AUS

Group Art Unit: 2632

Examiner: Not yet assigned

Certificate of Mailing (37 C.F.R. 1.8(a))

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Date of Signature  
and Mail Deposit

By: Donald F. Mofford  
Donald F. Mofford  
Reg. No. 33,740  
Attorney for Applicant(s)

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
Washington, DC 20231

Dear Sir:

It is desired to cite for the record in this application the enclosed documents listed on the attached copy of PTO Form #1449. The paragraph(s) marked below are applicable to this Information Disclosure Statement.

[ ] (1) The enclosed Information Disclosure Statement is being filed: within three months of the filing date; or within three months of the entry of the national stage of the above-identified application; or before the mailing of a first Office Action on the

merits; or before the mailing of a first Office Action after the filing of a request for continued examination under 37 C.F.R. § 1.114. Accordingly, Applicant(s) believes that no fee or statement is required.

☐ (1a) Applicant(s) believe the enclosed Information Disclosure Statement is entitled to the benefit of 37 C.F.R. § 1.97 (b) (3). Accordingly, Applicant(s) believe that no fee or statement is required.

☐ (1b) Pursuant to 37 C.F.R. § 1.97(c), the enclosed Information Disclosure Statement is being filed before the mailing date of a final action or a notice of allowance and is accompanied by:

☐ a statement under 37 C.F.R. § 1.97(e); ☐ the fee set forth in § 1.17(p).

PETITION UNDER 37 C.F.R. § 1.97(d)

☐ (2) Pursuant to 37 C.F.R. § 1.97(d), Applicant(s) hereby petition the Assistant Commissioner to consider the attached Information Disclosure Statement. Applicant(s) state that the issue fee has not been paid and that a statement under 37 C.F.R. § 1.97(e) is provided herein, along with the petition fee of \$130.00 required under 37 C.F.R. § 1.17(i).

STATEMENT UNDER 37 C.F.R. § 1.97(e) (1)

☐ (3) The undersigned hereby states that each item of information contained in the attached Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application mailed not more than three months prior to the filing of the accompanying Information Disclosure Statement.

STATEMENT UNDER 37 C.F.R. § 1.97 (e) (2)

☒ (4) The undersigned hereby states that no item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained

in the accompanying Information Disclosure Statement was known to any individual having a duty of disclosure as set forth in 37 C.F.R. § 1.56 (c) more than three months prior to the filing of the accompanying Information Disclosure Statement.

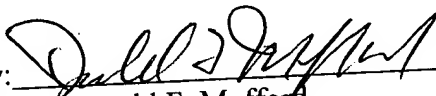
The filing of this Information Disclosure Statement is not a representation by the undersigned as to personal knowledge of the contents of every word or phrase of the material enclosed or that reliance on other suitably trained professionals has not been made.

If a search report of a searching agency is enclosed identifying the nature of the relevance of each document, such a designation is deemed to satisfy Rule 98(a) (3) even if in a foreign language, since the few terms of relevance therein are deemed of universal cognizance. However, Applicant(s) does not necessarily adopt the position reflected by that report.

The Commissioner is hereby authorized to charge payment of any additional fees associated with this communication or credit any overpayment to Deposit Account No. 500845.

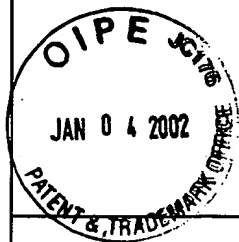
Respectfully submitted,

DALY, CROWLEY & MOFFORD, LLP

By:   
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Reg. No. 33,740  
Attorney for Applicant(s)

Dated: 4/22/01

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ATTY. DOCKET NO.  
**RTN-100AUS**APPLICATION NO.  
**09/805,849**

## INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

APPLICANT  
**Douglas M. Kavner**FILING DATE  
**March 14, 2001**GROUP  
**2632**

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE*
	5 6 9 6 5 0 2	12/9/97	Busch et al.			

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## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	EP 0 767 446	04/09/97	EP				
	EP 0 903 916	03/24/99	EP				

## OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)

	D. Rittich et al., "Perspektiven Der Verkehrsleittechnik", Nachrichtentechnische Berichte, ANT Nachrichtentechnik Gmb. Backnang, DE, No. 9, 9 April 1992, pp. 111-119.
	Patent Abstracts of Japan, Publication No. 2000 057483, Published on February 25, 2000, Nippon Telegr. & AMP; Teleph Corp.
	Patent Abstracts of Japan, Publication No. 07254099, Published on October 3, 1995, Toshiba Corp.
	International Search Report of Application No. PCT/US01/40298.
Examiner	Date Considered:
	*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and considered. Include copy of this form with next communication to applicant.